

CHRIS CHRISTIE Governor

KIM GUADAGNO

Lt. Governor

New Jersey Office of the Attorney General

Division of Consumer Affairs State Board of Veterinary Medical Examiners 124 Halsey Street, 6th Floor, Newark, NJ 07102 VIA CERTIFIED (RRR) AÑO REGULAR MAIL

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(973) 504-6500

Acting Director

Dr. Alexander Rockwell C/o Judson Barrett, Esq. Barrett & Pavluk, LLC 1200 Eagle Avenue, Suite 204 Ocean, NJ 07712

RECEIVED AND FILED WITH THE N.J. BOARD OF DENTISTRY

Re:

Settlement Letter in Lieu of Formal Disciplinary

Proceedings in Complaints:

90730 - Patient J.S.

September 29, 2014

Dear Dr. Rockwell:

This letter is to advise you that the New Jersey State Board of Dentistry completed its review of information concerning the above captioned complainant. Specifically, the information reviewed included:

- 1. The patient's complaint;
- 2. A copy of your written response, including patient treatment and financial records, and other office records;
- 3. A transcript of your appearance before the Board on May 21, 2014; and,
- 4. Other available information about the patient's condition.

Based upon the review of this information, the Board was concerned with your lack of understanding of basic medicine and principles of dentistry, and the relationship of medicine and pharmacology and dentistry. The Board contends that the failure to properly understand the interrelationships of these subjects, and apply them when planning and executing treatment to your patients, does not serve the public health, safety and welfare. However, the Board has determined that it will first offer you an opportunity to settle this matter, and avoid the initiation of formal disciplinary proceedings, should you agree to the following:

> 1. Complete a total of eighteen (18) hours of remedial continuing education in the following subject areas: seven (7) hours in internal medicine, four (4) hours in pharmacology, and seven (7) hours of record keeping.

If you are willing to settle this matter on the offered settlement terms, you may do so by signing the acknowledgment at the bottom of this letter, and returning it to the Board office. Continuing education course work is to be completed in addition to the credits you must complete for the renewal of your dental license. You should be aware that upon receipt of your signed acknowledgment, this letter will be a matter of public record.

Settlement Letter September 29, 2014 Page 2 of 3

In the event you are unwilling to settle this matter on the offered terms, it will be referred to the Attorney General's office for the initiation of an appropriate enforcement action. In such event, you will be afforded an opportunity to defend against the alleged violations. If an evidentiary hearing is deemed warranted, the Board will either conduct that hearing at a date and time to be scheduled or refer the matter to the Office of Administrative Law. You are advised, however, that in the event formal charges are filed, the Board may assess civil penalties, in addition to other sanctions, should any charges against you be sustained. Additionally, the Board may, if the facts are found to so warrant, enter an order requiring you to reimburse certain monies and/or requiring you to pay the additional costs incurred by the Board. Should you have any questions concerning this letter or the settlement offer, I suggest that you contact Deputy Attorney General Swang Oo, who may be reached at (973) 648-2500.

If you elect to settle this matter, you should sign the acknowledgment at the bottom of this letter and return it to the Board within fifteen (15) days following your receipt of this letter. In the event that the Board receives no response from you within fifteen (15) days, the Board's settlement offer will be withdrawn, and the matter will be referred to the Attorney General's Office for the initiation of appropriate enforcement proceedings.

Sincerely,

NEW JERSEY STATE BOARD OF DENTISTRY

Johathan Eisenmenger Executive Director

cc: Swang Oo, Deputy Attorney General

Settlement Letter September 29, 2014 Page 3 of 3

ACKNOWLEDGMENT: I, Dr. Alexander Rockwell, have read and reviewed the settlement proposal set forth in this settlement letter. It is my desire to resolve this matter amicably, and without formal proceedings. I am also aware that the action taken against me by the Board is a matter of public record, and that this letter is a public document. Without admitting the validity of the contentions, I agree to comply with the directives noted. I will:

1. Complete a total of eighteen (18) hours of remedial continuing education in the following subject areas: seven (7) hours in internal medicine, four (4) hours in pharmacology, and seven (7) hours of record keeping.

Dr. Alexander Rockwell